



WISE INVESTMENT

PLANNING FOR LIFE

Revised Shareholder Rights Directive (SRD II) Engagement Policy

The Revised Shareholder Rights Directive has been implemented in the UK. Under this directive we are required to confirm:

Our firm does not have a formal engagement policy.

Our view is that an engagement policy is more appropriate to firms providing discretionary services to large institutional clients such as pension funds or investment funds rather than discretionary services provided to retail clients.

Our ability to engage with companies is limited as generally the proportion of shares held in a company is lower than those held by major institutions.

Accordingly, we do not believe it is appropriate for our firm to have a formal engagement policy.

Declaration dated: 1 June 2019